



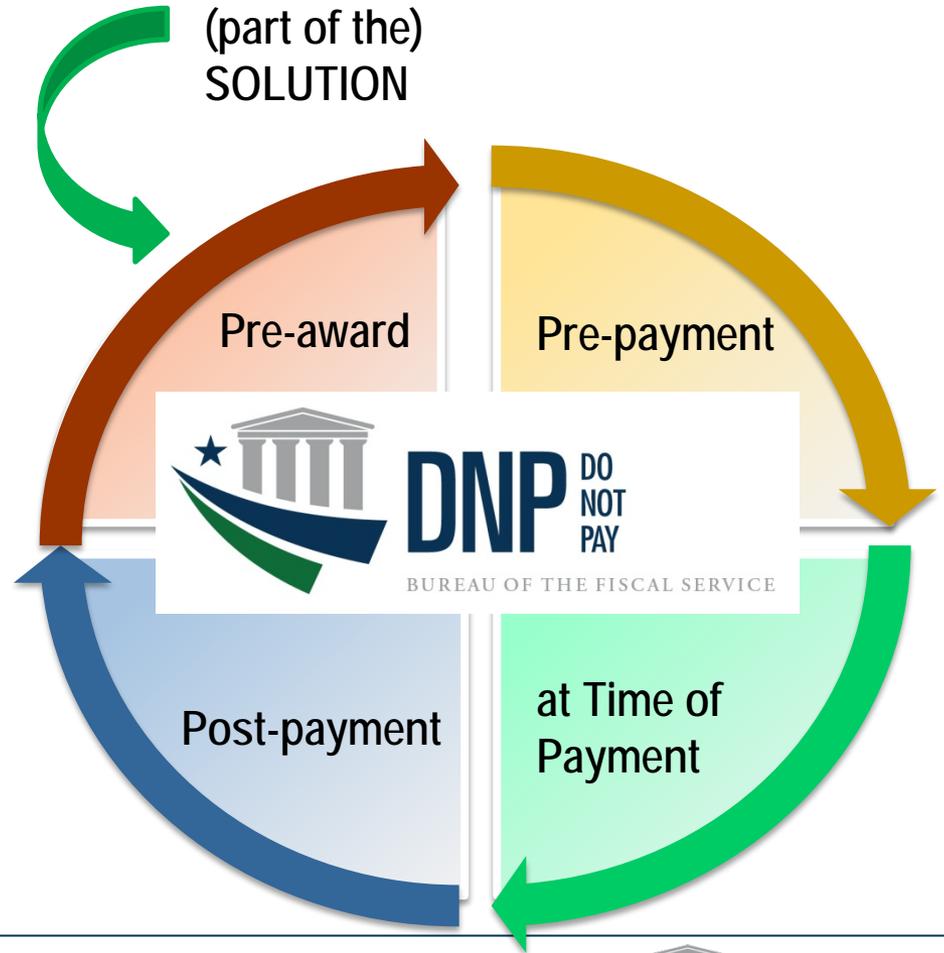
BUREAU OF THE
Fiscal Service
U.S. DEPARTMENT OF THE TREASURY

Overview of Do Not Pay

Kevin R Jones
September 17, 2014

Improper Payments

PROBLEM



Fiscal Service Improper Payment Objectives

2. Provide the ability to analyze every Federal dollar

3. Provide an integrated approach to improper payments across the payment life-cycle

4. Provide data and analytical capabilities to address all causes of improper payments

1. Build a robust foundation

People | Privacy | Governance
Technology | Data | Processes

Do Not Pay Objectives

- **Strategic:**
 - Provide agencies with **centrally-provided**, IPERIA-mandated & **agency-driven** information that helps them avoid and reduce and recover improper payments
- **Tactical:**
 - Identify potentially improper payments by comparing agency payments to lists of ineligible recipients
 - Provide agencies with:
 - **analytical insights about payments to potentially ineligible recipients** to help them identify systemic sources of improper payment and/or potential fraud
 - information about **patterns of improper payments** to help them identify systemic sources of improper payment and/or potential fraud
 - analytical insights about the **sources of future improper payments**

What does Do Not Pay Offer?



Portal

Provides users with an internet-based, one-stop shop for their data needs. Agencies can select the best searching methods to incorporate into their business processes.



Online Single Search



Batch Matching



Continuous Monitoring



Payments



Advanced Analytics

Provides agencies with additional customized analysis to combat improper payments.

General Statistics

Trending

Deep Dive Analysis

Agency Specific Analysis

Payment File Analysis

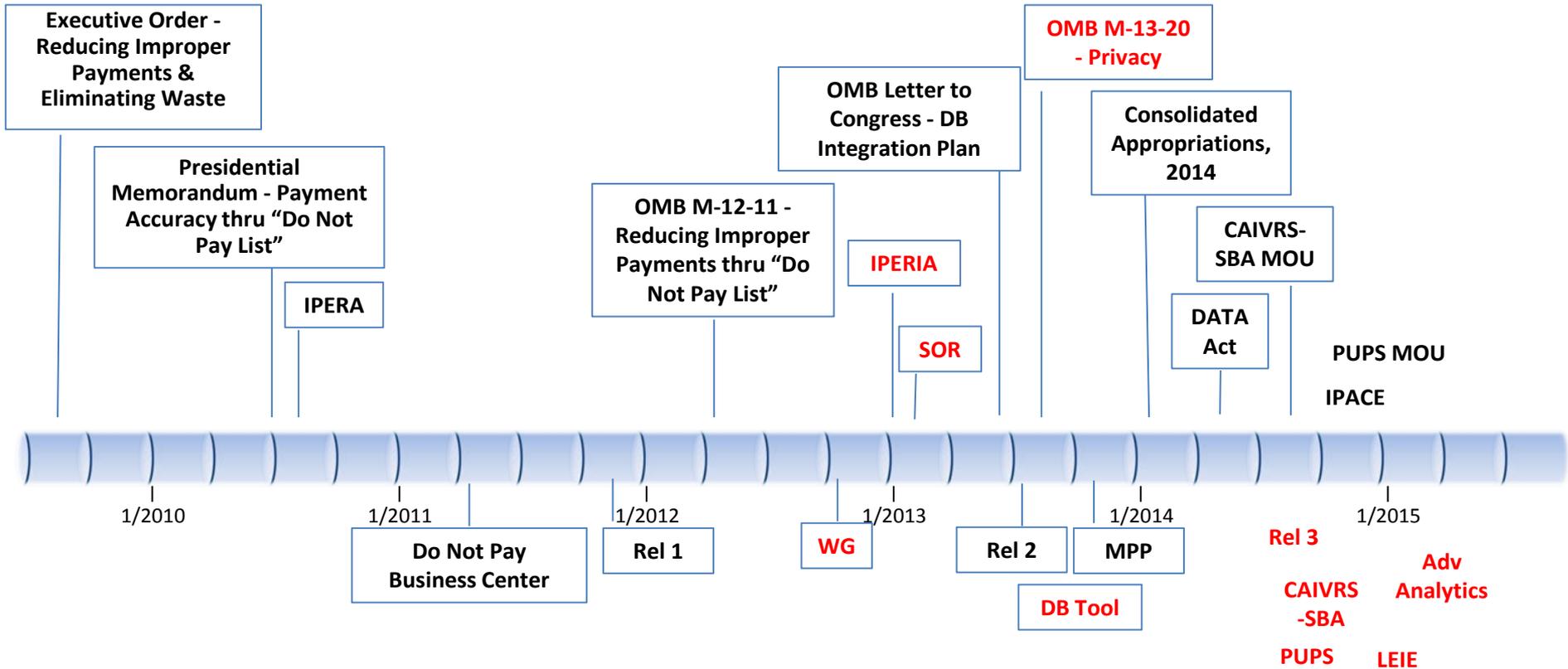
Agency Support Center

Supports users for both services in all aspects of the process. Also, provides personalized training and portal demonstrations.

Basic Partnership with Agencies

- Our partnership to improve “bang for the buck”:
 - DNP to offer better data sources
 - Agencies to adjudicate results & define rules

DNP: Foundation → Future



CMA Process – OMB M-13-20

- M-13-20 makes CMAs much easier...

| Topic | Excerpt |
|-----------------------|---|
| CMA Lifespan | Matching programs have a termination date of less than three years. Agencies may renew these CMAs for a maximum of three years. |
| Review Period | Data Integrity Boards (DIBs) have a 60-day deadline for review of a CMA. If circumstances do not permit the DIB to approve or disapprove the DNP matching program within 60 days, the DIB shall provide a brief memorandum to the head of the agency (or to the Inspector General in cases where the Inspector General proposed the matching program) describing the necessity for the delay. |
| Cost Benefit Analysis | Agencies' cost-benefit analyses for a DNP matching program need not contain a specific estimate of any savings. |

Public vs Private - SAM Exclusions

| | January, 2014 | February, 2014 |
|--|----------------------|----------------------|
| Total Payments | \$191,225,037,880 | \$155,985,536,614 |
| SAM Exclusions- Public Matches | \$876,707,274 | \$840,440,999 |
| SAM Exclusions- Public Improper | \$3,838 | \$2,698 |
| Matches as % of Payments | 0.4585% | 0.5388% |
| Improper as % of Matches | 0.0004% | 0.0003% |



| | January, 2014 | February, 2014 |
|---|--------------------|--------------------|
| Total Payments | \$191,225,037,880 | \$155,985,536,614 |
| SAM Exclusions- Private Matches | \$1,593,129 | \$2,638,512 |
| SAM Exclusions- Private Improper | | |
| Matches as % of Payments | 0.001% | 0.002% |
| Improper as % of Matches | | |

Reduction in Matches 99.75%

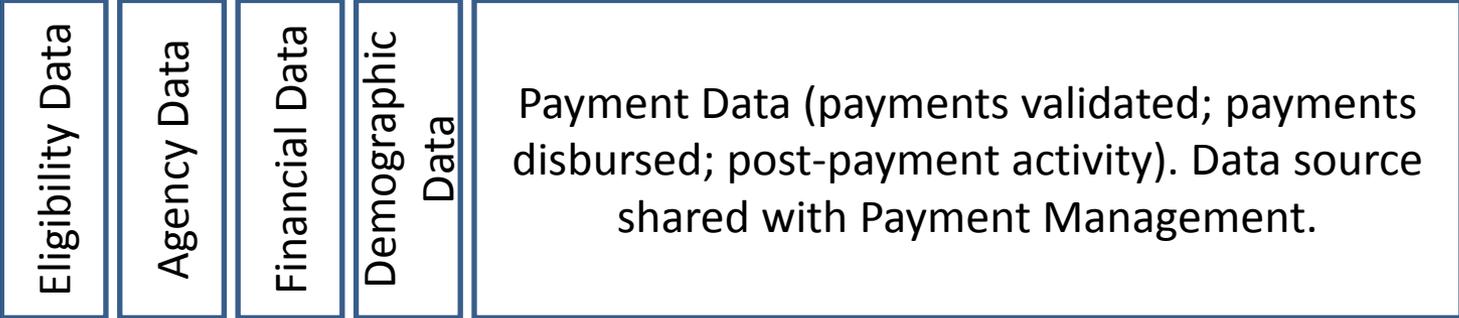
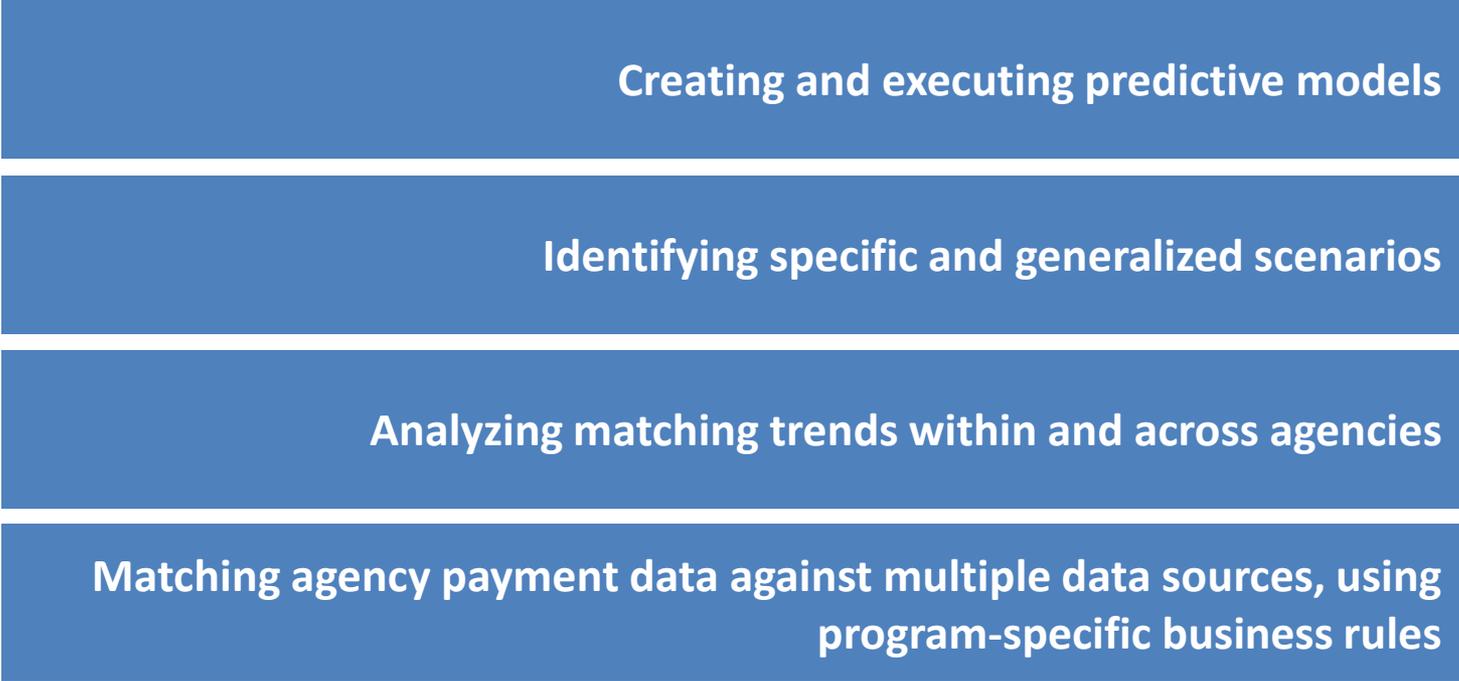
| PACER | | | |
|------------|-----------|-------|----------|
| Name | TIN | State | Payment |
| John Smith | 123456789 | CA | \$100.00 |

| SAM Exclusions Public | | |
|-----------------------|-----|-------|
| Name | TIN | State |
| John A. Smith | - | AL |
| John B. Smith | - | AZ |
| John C. Smith | - | AR |
| John D. Smith | - | DE |
| John E. Smith | - | HI |
| John F. Smith | - | IL |
| John G. Smith | - | IA |
| John H. Smith | - | IN |
| John I. Smith | - | MO |
| John J. Smith | - | OH |
| John K. Smith | - | CA |
| John L. Smith | - | UT |
| John M. Smith | - | ID |
| John N. Smith | - | TN |
| John O. Smith | - | KY |
| John P. Smith | - | FL |
| John Q. Smith | - | GA |
| John R. Smith | - | RI |
| John S. Smith | - | VT |
| John T. Smith | - | MA |
| John U. Smith | - | PA |

| PACER | | | |
|------------|-----------|-------|----------|
| Name | TIN | State | Payment |
| John Smith | 123456789 | CA | \$100.00 |

| SAM Exclusions Private | | |
|------------------------|-----------|-------|
| Name | TIN | State |
| John A. Smith | - | AL |
| John B. Smith | 012345678 | AZ |
| John C. Smith | 234567890 | AR |
| John D. Smith | 345678910 | DE |
| John E. Smith | 456789012 | HI |
| John F. Smith | 567890123 | IL |
| John G. Smith | 678901234 | IA |
| John H. Smith | 789012345 | IN |
| John I. Smith | 890123456 | MO |
| John J. Smith | - | OH |
| John K. Smith | 102030405 | CA |
| John L. Smith | 901234567 | UT |
| John M. Smith | 987654321 | ID |
| John N. Smith | 987654321 | TN |
| John O. Smith | - | KY |
| John P. Smith | 765432108 | FL |
| John Q. Smith | 654321098 | GA |
| John R. Smith | 543210987 | RI |
| John S. Smith | 432109876 | VT |
| John T. Smith | 032109875 | MA |

Advanced Analytics



New Features

- Access Group Hierarchy
- Payments and Adjudication Reports in Portal
- Whitelisting
- Business Rules within Batch, Continuous Monitoring, and Payments
- Stop Payment Rules

Statistics of Note

- 22 CFO Act Agencies Onboard
 - >132 Programs Onboard
 - 70.15% of identified improper payments (\$) are from one agency
 - 98.05% of identified improper payments (\$) were identified through matches to DMF-public. The remainder were through SAM-Public.

| | Monthly Average | Aggregate |
|--|-------------------|---------------------|
| PACER Payments Analyzed # | 84,118,830 | 1,177,663,616 |
| PACER Payments Analyzed \$ | \$154,280,410,739 | \$2,159,925,750,352 |
| Improper Payments Identified # | 73 | 1023 |
| Improper Payments Identified \$ | \$138,247 | \$1,935,464 |

Above table references adjudication results from March 2013 – April 2014 payments

Contact Information

visit us at
www.donotpay.treas.gov



1-855-837-4391

donotpay@stls.frb.org

DNP Executive Director:

Kevin.Jones@fiscal.treasury.gov 202-504-3516

DNP Director of Product & Development:

Russell.Kuehn@fiscal.treasury.gov 202-874-5784

DNP Director of Ops & Outreach

DNP Agency Relationship Management Staff:

Sarah.Stricevic@fiscal.treasury.gov 202-874-6511

DNP Agency Support, FRB-StL:

Leslie.L.Nance@stls.frb.org 314-444-4236

DNP Relationship Managers

| CFO AGENCY | FRB STL RM | FRB STL RM | TREASURY RM |
|---|------------------|---------------------------------|--------------------|
| Department of Agriculture | Patrick Imming | Patrick.A.Imming@stls.frb.org | Sarah Stricevic |
| Department of Commerce | Jonathan Norris | Jonathan.N.Norris@stls.frb.org | Lori Makle-Sellman |
| Department of Defense | Meghan Shannon | Meghan.M.Shannon@stls.frb.org | Lori Makle-Sellman |
| Department of Education | Jonathan Norris | Jonathan.N.Norris@stls.frb.org | Sarah Stricevic |
| Department of Energy | Meredith Miller | Meredith.R.Miller@stls.frb.org | Theresa Jefferson |
| Department of Health & Human Services | Kimberly Derleth | Kimberly.M.Derleth@stls.frb.org | Sarah Stricevic |
| Department of Homeland Security | Meghan Shannon | Meghan.M.Shannon@stls.frb.org | Theresa Jefferson |
| Department of Housing & Urban Development | Meredith Miller | Meredith.R.Miller@stls.frb.org | Lori Makle-Sellman |
| Department of the Interior | Marcene Menendez | Marcene.Menendez@stls.frb.org | Sarah Stricevic |
| Department of Justice | Kimberly Derleth | Kimberly.M.Derleth@stls.frb.org | Theresa Jefferson |
| Department of Labor | Patrick Imming | Patrick.A.Imming@stls.frb.org | Lori Makle-Sellman |
| Department of State | Meghan Shannon | Meghan.M.Shannon@stls.frb.org | Lori Makle-Sellman |
| Department of Transportation | Marcene Menendez | Marcene.Menendez@stls.frb.org | Sarah Stricevic |
| Department of The Treasury | Marcene Menendez | Marcene.Menendez@stls.frb.org | Sarah Stricevic |
| Department of Veterans Affairs | Kimberly Derleth | Kimberly.M.Derleth@stls.frb.org | Sarah Stricevic |
| Environmental Protection Agency | Jonathan Norris | Jonathan.N.Norris@stls.frb.org | Theresa Jefferson |
| General Services Administration | Jonathan Norris | Jonathan.N.Norris@stls.frb.org | Theresa Jefferson |
| National Aeronautics & Space Administration | Patrick Imming | Patrick.A.Imming@stls.frb.org | Theresa Jefferson |
| National Science Foundation | Meredith Miller | Meredith.R.Miller@stls.frb.org | Lori Makle-Sellman |
| Nuclear Regulatory Commission | Meghan Shannon | Meghan.M.Shannon@stls.frb.org | Lori Makle-Sellman |
| Office of Personnel Management | Meredith Miller | Meredith.R.Miller@stls.frb.org | Theresa Jefferson |
| Small Business Administration | Kimberly Derleth | Kimberly.M.Derleth@stls.frb.org | Sarah Stricevic |
| Social Security Administration | Marcene Menendez | Marcene.Menendez@stls.frb.org | Theresa Jefferson |
| U.S. Agency For International Development | Patrick Imming | Patrick.A.Imming@stls.frb.org | Lori Makle-Sellman |