

**Payment Application Modernization (PAM)  
Outgoing File Specifications - Agency Notification  
(E-Mail)  
Version 7.0.1  
February 26, 2014**

Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

## *Table of Contents*

Table of Contents .....	1
1. PAM Agency Notifications.....	2
1.1 Information and Processing Descriptions .....	2
1.1.1 Overview.....	2
1.1.2 Agency/RFC Configurations .....	2
1.1.3 Notification Methods .....	3
1.1.4 Conceptual Model.....	3
1.2 File, Record and Data Element Descriptions .....	4
1.2.1 PRF Acceptance Notification – Structure of Notification .....	4
<b>Payment Screening Text Derivation Rules.....</b>	<b>5</b>
Please contact the PAM Help Desk with questions at 816-414-2340. <b>1.2.2</b> PRF Acceptance Notification – Examples .....	6
1.3 Error Reasons and Messages.....	8

Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

# 1. PAM Agency Notifications

This file contains information about notifications that PAM sends to Agency and FMS contacts during the processing of processing PRFs submitted by an Agency.

The first section describes an overview of notifications, the configurations that can be set, and the processing which takes place during the processing of a PRF. The second section describes the structure of each field in the file, grouped by record sections, including the common data element from which the value comes.

## 1.1 Information and Processing Descriptions

---

### 1.1.1 Overview

While processing PRFs, PAM notifies individuals and/or systems (“contacts”) about specific processing events. The notification may be sent to zero or more contacts based on the submitting agency and the PRF’s Configuration. In addition, zero or more RFC staff may also be notified of the event. The following lists the two types of notifications (see "File, Record and Data Element Descriptions" for details on the contents of a notification).

- PRF Acceptance Notification, including but not limited to [for content, see description below]
  - whether the PRF was accepted or rejected (due to file structure or major errors)
  - assigned control number
  - results of payment screening (information regarding stopped and flagged payments)
  - payment screening suspension information, if applicable
  - payment screening bypass information, if applicable
  - invalid RTN information, if applicable (if no invalid RTNs do not include this section)
  
- PRF Certification/Delinquent Debt Notification, including but not limited to [content to be defined]
  - results of certification (schedule errors, exceeded tolerance errors, trace and check numbers assigned, etc.)
  - results of delinquent debt processing, if applicable

### 1.1.2 Agency/RFC Configurations

The following are the configurations related to notifications.

- An agency or an RFC can have zero or more contacts.
- For each PRF Configuration type it submits, an agency can specify zero or more contacts

Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

to receive notifications while a PRF that it has submitted is being processed. The contacts must be in the set of the agency's contacts.

- For each agency/PRF Configuration type combination, an RFC can specify zero or more contacts to receive notifications while a PRF is being processed. The contacts must be in the set of the RFC's contacts.
- Each contact can specify one notification method (see below). This applies to all notifications that the contact would receive.

### **1.1.3 Notification Methods**

The following are the methods that PAM will use to send notifications.

- E-mail with notification content within the body of message
- E-mail with notification content within an attached formatted file
- Formatted file delivered to external file system

The following are the services that PAM will utilize to send notifications.

- E-mail: via standard FMS email servers
- Formatted File: delivered to external file system

### **1.1.4 Conceptual Model**

The following information model illustrates these concepts and relationships.



Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

\$ of Total Payments

Control number of one of the files

Payment Details Schedule Number:

Note: When there is more than one schedule, payment details should be sorted in the order of the schedule number (alpha numeric sort).

Type of Payment

of ACH Items

\$ of ACH Items

Control Number for Certification

NOTE: Include any control number from the files received.

ALC

# of Check Items

\$ of Check Items

Control Number for Certification

NOTE: Include any control number from the files received

ALC

File Level Record

File 1: [Status of file]

Agency Dataset

PAM Dataset

# of Items on file

\$ on file

Date Validation Performed

Time Validation Performed

# of invalid payments Reason for invalid and # of first occurrence Payment Screening

# of stopped payments and their reasons

# of flagged payments and their reasons

### Payment Screening Text Derivation Rules

Condition	Payment Screening Text
PRF processing status is <i>Screening</i>	PAYMENT PROCESSING SUSPENDED – A SECOND NOTIFICATION WILL BE SENT WHEN PROCESSING

Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

<i>Suspended</i>	RESUMES
DNP Did not return screening results within the SLA window (timer expired)	THIS FILE WAS NOT PROCESSED BY DO NOT PAY IN TIME TO STOP PAYMENTS
DNP was bypassed manually or globally. or PRF is Type A	THIS FILE BYPASSED DNP
DNP returned screening results	Use value populated in the AgencyReport element returned in the <i>Input File Specifications - DNP Screening Results</i> . Line formatting will be included in the AgencyReport element.
PAM is configured to bypass DNP or not to include DNP results.	No text regarding payment screening should be populated.

#### Invalid RTNs

Invalid RTN numbers, number of occurrences for that ABA number

#### Help Desk Information:

Please contact the PAM Help Desk with questions at 816-414-2340.

Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

## 1.2.2 PRF Acceptance Notification – Examples

Example:

### Formatted Scenario Example for e-mail notification: (amendments highlighted in green)

Subject: Notification from KFC. PRF is Accepted with Errors

The US Treasury (FMS) has received a payment request of type SSI MONTHLY for the Social Security Administration.

On 7/22/08 at 10:54:48 AM CT the validation process was accepted with errors in the requests.

#### PRF DETAILS:

10 files received, 102 items for a total of \$41,843.18

Control Number C002912

#### PAYMENT DETAILS:

##### Schedule Number:

SSIMonthly

12 ACH items for a total of \$5,504.78

Certification control number is C002914

Agency Location Code is 28040004

90 CHECK items for a total of \$36,338.40

Certification control number is C002905

Agency Location Code is 28040004

#### RECEIVED FILES:

File 1: Status Passed validation. Accepted.,

Agency Dataset FRYD5.SSI.SSIMCK.SEG08.R080722,

PAM Dataset FRYDK.SSI.SSIMCK.C002912,

Item Count 10,

Total Payment Amount \$4,037.60,

Date Validation Performed 7/22/08,

Payment Application Modernization	Version: 7.0.1
Outgoing File Specifications – Agency Notification (e-mail)	Date: February 26, 2014

Time Validation Performed 10:54:44 AM CT

1 invalid                      Zip Code 4 columns 1st occurrence on payment 100, record #201

File 2: Status Passed validation. Accepted

    Agency Dataset xxxx

    PAM data set xxxxx

    Item CountL NNNN

    Total Payment Amount \$\$\$\$\$cc

    Data Validation Performed DDMMYY

    Time Validation Performed HHDDMM am (timezone)

Etc.

PAYMENT SCREENING:

    SCHEDULE NUMBER: xxxxxxxxxxxxxx

        4 occurrences of “Payment matched DMF and will not be paid”

    SCHEDULE NUMBER: xxxxxxxxxxxxxx

        3 occurrences of “Payment matched EPLS – notification only payment will be made”

INVALID RTNs

INVALID ABA	Number of Occurrences
323456789	10
223456789	3
123456789	1

Please contact the PAM Help Desk with questions at 816-414-2340.

### ***1.3 Error Reasons and Messages***

Refer to Document “SPD 102 PRF Validation Messages” for Error Number and Error Message.