

The “Do Not Pay” Solution



Kansas City Financial Center

Customer Advisory Board

July 24, 2012





Improper Payment Initiative

KFC Customer Advisory Board

Executive Order - Reducing Improper Payments and Eliminating Waste in Federal Programs

November 2009

The purpose of this order was to reduce improper payments by intensifying efforts to eliminate payment error, waste, fraud, and abuse in the major programs administered by the Federal Government, while continuing to ensure that Federal programs serve and provide access to their intended beneficiaries.



Presidential Memorandum-Enhancing Payment Accuracy Through a "Do Not Pay List"

June 2010

Directed agencies to review current pre- payment and pre-award procedures and ensure that a thorough review of available databases with relevant information on eligibility occurs before the release of any Federal funds, to the extent permitted by law.

At a minimum, agencies shall, before payment and award, check the following existing databases to verify eligibility:

- Death Master File
- Excluded Parties List System
- Debt Check Database
- Credit Alert System or Credit Alert Interactive Voice Response System,
- List of Excluded Individuals/Entities

Development of the Do Not Pay Business Center

April 2011

The Treasury's Bureau of the Public Debt partnered with the St. Louis and Kansas City Federal Reserve Banks as Treasury's Fiscal Agent, to develop the **Do Not Pay Business Center** as part of the "Do Not Pay" solution.

Memorandum For Heads of Executive Departments and Agencies – Reducing Improper Payments Through the "Do Not Pay List"

April 2012

Directed Executive Agencies to take immediate steps to use the centralized solutions that are already in place for pre-payment eligibility review. The memorandum requires the Chief Financial Officer of each agency (or the accountable official for improper payments and program integrity, under Executive Order 13520) to submit to OMB a plan for using centralized solutions.



Our Vision

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Through Do Not Pay, Treasury will provide a best in class government wide shared service that is the centralized source for validating Federal award eligibility and is a proactive tool used to identify and mitigate fraud, waste and abuse in federally funded programs.



Benefits of Using Do Not Pay KFC Customer Advisory Board

- Helps agencies eliminate manual checking of databases maintained in multiple sites—searches all data sources at one time to improve efficiencies.
- Provides access to current and relevant data to help make a payment or eligibility decision.
- Allows an agency a simple and streamlined way to comply with the “Do Not Pay” initiative, which requires all Executive Agencies to review key databases pre-award and pre-payment.
- Detects and helps prevent erroneous payments.



Portal

Access to the portal is being offered to agencies as a one stop shop for your data needs. Agencies can select the best searching methods to incorporate into their procedures.

Single Online Search



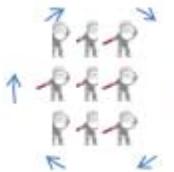
One single entry can be searched and matching records will be returned. Currently, users can search on TIN, SSN, DUNS, Business or Individual Name.

Batch Matching



Agency sends in large files before or after payments are made and Do Not Pay matches the files to available data sources and returns the results to the user via the online portal. Results are typically returned the next business day.

Continuous Monitoring



Agency sends in large files and Do Not Pay stores the file within the portal and provides the agencies with the matches via the online portal. Results are typically returned the next business day.

Data Sources Currently Available:

- Excluded Party List System (EPLS) with an Office of Foreign Asset Controls (OFAC) feed
- Death Master File (DMF)
- List of Excluded Individuals/Entities (LEIE)
- Debt Check
- The Work Number
- Central Contractor Registration (CCR)

Receive updated data source files on a regular basis.

New Data Sources are being added regularly.



Preventing improper payments through verification

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Examples of what you can verify	How you can verify it
Verify whether an individual that is receiving unemployment payments is still living, owes federal non-tax debt, and/or is recently employed	Match on Death Master File, Debt Check, EPLS, LEIE, and The Work Number
Identify vendors that owe federal non-tax debt and ensure vendors that owe debts are paid via the Treasury offset process instead of through a credit card	Match on Debt Check
Identify providers, individuals, or vendors that are excluded from doing further business with the government or should be subject to more oversight based on past performance	Match on EPLS, and LEIE
For agency programs that are means tested, Do Not Pay can verify the accuracy of income levels at the time of enrollment	Match on The Work Number
During the payment process, ensure that the name associated with the DUNS is the name associated with that DUNS in CCR, thus preventing payment to the wrong entity	Match on CCR
Identify keying errors that could cause the wrong entity to receive a payment	Match on CCR and EPLS



Data Analytics Services

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Data Analytics Services are being offered to agencies to help reduce fraud, errors and payments being made to ineligible recipients.

Files to be used for agency analysis

- Agency provided payment file
- Agency provided payee list
- FMS Payment Data
- Recovery.gov
- USASpending.gov
- IPP Vendor Data
- ASAP Grantee Data

Data Sources

- Excluded Party List System (EPLS) with an Office of Foreign Asset Controls (OFAC) feed
- Death Master File (DMF)
- List of Excluded Individuals/Entities (LEIE)
- Debt Check
- Central Contractor Registration (CCR)
- Affirmative Proceeding Data
- Dun & Bradstreet
- Recovery.gov
- Google Maps/Search
- Zip Code
- Prison Address Source
- American Infosource Bankruptcy Data
- Federal Awardee Performance and Integrity Information System (FAPIIS)
- LexisNexis Accurrant

General Statistics

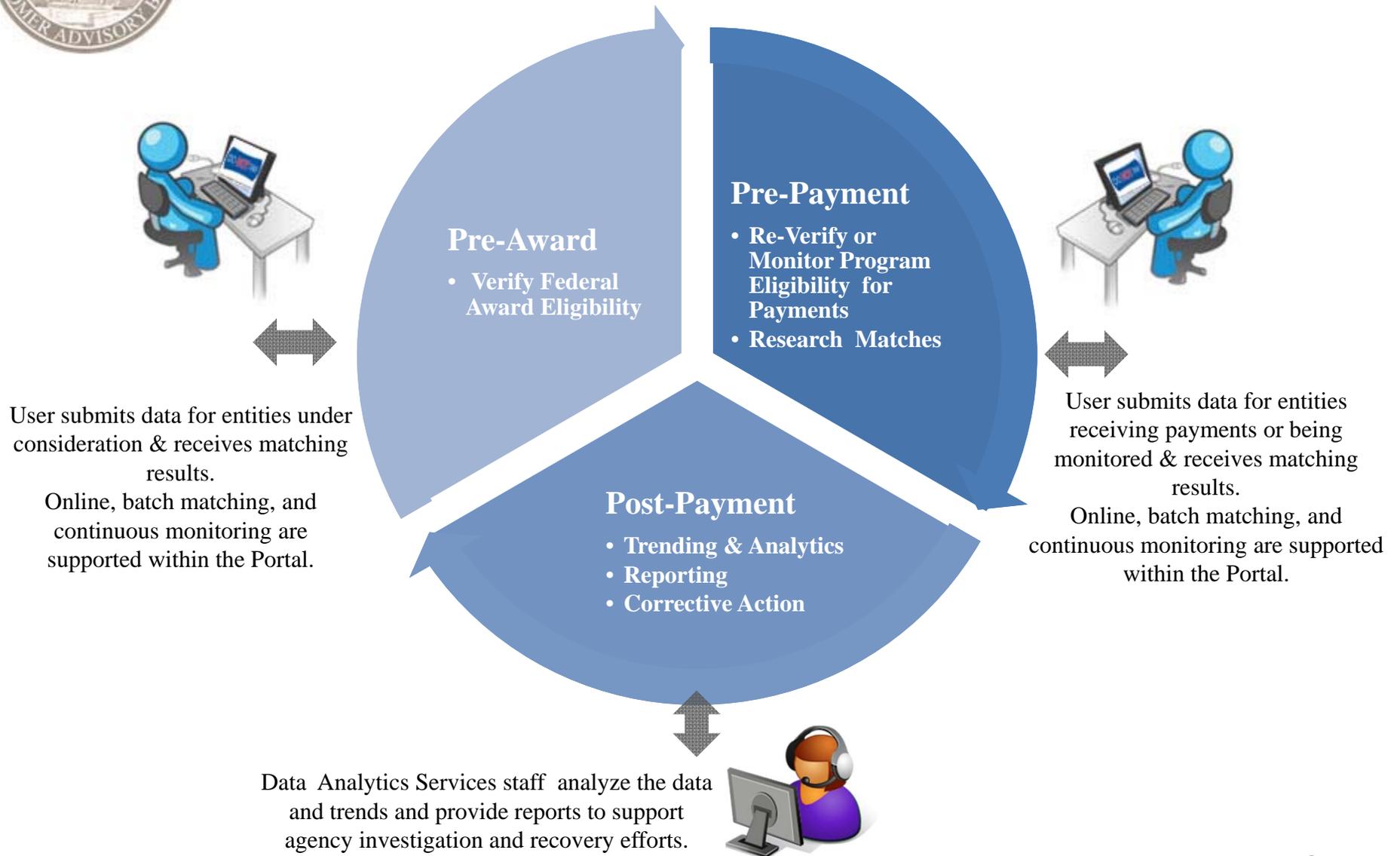
- Number of payments, number of payees, total awarded, highest/lowest/average payment, standard deviation
- Payments or payees lacking a unique identifier
- Payments or payees outside of the United States
- Payment distribution analysis
- Payments sent to P.O. Boxes

Fraud Detection

- Duplicate payments
- Conflicting information
- Link analysis

Agency Specific Analysis

- Trend analysis
- Research irregularities
- Special Requests





We've Got You Covered

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Treasury's Financial Management Service (FMS) and Shared Service Providers will be able to partner with agencies looking to fulfill the requirements in the presidential directive.

Partner	Benefit	Process
Automated Standard Application for Payments (ASAP)	ASAP's grantee listing is continuously monitored against Do Not Pay data sources for changes in grantee status.	Pre-Payment and Post-Payment
Internet Payment Platform (IPP)	IPP will use Do Not Pay's continuous monitoring functionality to automatically check for changes in vendor status for vendors that are registered and presenting invoices to agencies via the IPP.	Pre-Payment
FMS Payments	FMS post-payment data is matched against Do Not Pay data sources to assist with recoveries and fraud detection.	Post- Payment
Shared Service Providers	Shared Service Providers can provide a value added service by assisting customer agencies in meeting the presidential directive. Coordinate Do Not Pay implementation plans with your service provider.	Pre-Award and Pre-Payment



Do Not Pay Portal

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Welcome, Agency Outreach

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An Official Website of the United States Government

Announcements

ITIM Self-Service

- Online Search**
- Batch Matching
- Continuous Monitoring
- Helpful Information
- Access to The Work Number

Access Group
 Access to All Groups

SSN / EIN / TIN (9 digits, no spaces or dashes)
 Example: 123123123

First Name

Last Name

DUNS Number Plus 4

Business Name

Search **Clear** [? Search Tips](#)

Want to start a new search?
 Enter one of the following criteria:

- Social Security Number (SSN) or Employer Identification Number (EIN) or Taxpayer Identification Number (TIN)
- SSN/EIN/TIN and Last Name
- SSN/EIN/TIN and Last Name and First Name
- Last Name and First Name
- Business Name
- SSN/EIN/TIN and Business Name
- Business Name and DUNS and Plus 4
- Business Name and DUNS
- DUNS
- DUNS and Plus 4

To get the best results, here are some other tips:

1. Be specific when searching for a name. Enter a name exactly as it will appear in the search results.
2. The more criteria you enter, the more limited your results will be. The search results will only contain records that match every criteria you have entered.
3. If you are looking for a larger sample, try entering fewer search criteria.





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Online Search Results

Welcome, Agency Outreach



helping prevent improper payments

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Announcements

ITIM Self-Service

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Access Group
Access to All Groups

SSN / EIN / TIN (9 digits, no spaces or dashes)
Example: 123123123

First Name
Albert

Last Name
Harris

DUNS Number Plus 4

Business Name

Search **Clear** [? Search Tips](#)

Search Results for First Name: ALBERT, Last Name: HARRIS

937 Matches Found

Central Contractor Registration As of 03/05/2012	Excluded Parties List - Private As of 03/07/2012	Excluded Parties List - Public As of 02/21/2012	List of Excluded Individuals and Entities As of 02/10/2012
0	5	5	2

TIN	Name	Address	City	State	ZIP	Country	DUNS	Exclusion Code
	Albert Robert Harris	1801 West Martin Luther King	Tuskegee	AL	36083	N/A		Reciprocal
	Albert Robert Harris	1801 West Martin Luther King	Tuskegee	AL	36083	N/A		Reciprocal
787741061	Albert B. Harris	769 Greenbridge Lane	Louisville	KY	40407	N/A		Reciprocal
787741061	Albert B. Harris	769 Greenbridge Lane	Louisville	KY	40407	N/A		Reciprocal
890716916	Albert Leon Harris	430 Fordham Cir. null	Vallejo	CA	94589	N/A		Reciprocal





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Batch Matching – Filter Matching Results

Filter Matching Results for Batch Matching



Select to Filter Payment Amount:



Total Payment Amount to Payee

greater than or equal to

50

8,481 Matches Found

Select all checkboxes:

	# of CONCLUSIVE matches	# of PROBABLE matches	# of POSSIBLE matches
<input type="checkbox"/> TOP Debt Check as of 01/31/12	122 <input checked="" type="checkbox"/>	21 <input type="checkbox"/>	0 <input type="checkbox"/>
<input type="checkbox"/> SSA Death Master File as of 05/19/12	5 <input checked="" type="checkbox"/>	0 <input type="checkbox"/>	0 <input type="checkbox"/>
<input type="checkbox"/> Excluded Parties List - Private as of 05/20/12	0 <input type="checkbox"/>	0 <input type="checkbox"/>	3,305 <input type="checkbox"/>
<input type="checkbox"/> Excluded Parties List - Public as of 05/20/12	0 <input type="checkbox"/>	0 <input type="checkbox"/>	3,305 <input type="checkbox"/>
<input type="checkbox"/> List of Excluded Individuals and Entities as of 05/09/12	0 <input type="checkbox"/>	0 <input type="checkbox"/>	1,723 <input checked="" type="checkbox"/>

Select Boxes to Filter by Data source and/or Matches, and Click Submit.

Clear Selection(s)

submit



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Batch Matching – Summary Matching Results

Match Results Summary – Batch Matching



1849 total matches

	Payee ID	Payee ID Type	Payee Name	Payee DUNS	Total Payment Amount	Number of Payments	Match Source	Match Level	Match Type
<input type="checkbox"/>	300749553	SSN	<u>DAVID A RYAN</u>		<u>\$7,718.22</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	300786609	SSN	<u>JOHN S JENKINS</u>		<u>\$3,176.40</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	302662659	SSN	<u>GEORGE R WILSON</u>		<u>\$4,811.96</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	302704958	SSN	<u>RONALD E EVANS</u>		<u>\$4,091.72</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	306981313	SSN	<u>CHARLENE A JOHNSON</u>		<u>\$1,543.38</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	308885977	SSN	<u>RICHARD J WILSON</u>		<u>\$3,329.59</u>	1	TOP Debt Check	CONCLUSIVE	TIN + NAME MATCH
							List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	309665436	SSN	<u>VIRGINIA B MOORE</u>		<u>\$3,447.50</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	309829595	SSN	<u>PATRICIA G WHITE</u>		<u>\$1,959.58</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	313808246	SSN	<u>JAMES STEVEN MILLER</u>		<u>\$4,336.60</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	314140786	SSN	<u>DEXTER L MALLOY</u>		<u>\$1,712.47</u>	1	TOP Debt Check	CONCLUSIVE	TIN + NAME MATCH
<input type="checkbox"/>	314688972	SSN	<u>JOSEPH E MAZUR</u>		<u>\$5,143.96</u>	1	List of Excluded Individuals and Entities	POSSIBLE	FULL NAME MATCH
<input type="checkbox"/>	316766887	SSN	<u>JOHN G ALLEN</u>		<u>\$3,397.99</u>	1	List of Excluded Individuals and	POSSIBLE	FULL NAME

[Continue To Details](#)



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Batch Matching - Detail Matching Results

Matching Details

Name	TIN	DUNS
RICHARD WILSON	308885977	

Payment Information

Payee TIN	ID Type	Payee Name	Payee DUNS	Agency Specific Identifier	Address	Date of Payment	Payment Amount
308885977	SSN	RICHARD WILSON		308885977	20132	05/01/2012	\$3,329.59

Conclusive Match

Data Source : TOP Debt Check as of 01/31/2012

Debtor Name	Debtor TIN	Debtor City	Debtor State	Debtor ZIP	Agency Contact	Debt Agency Address	
RICHARD WILSON	308885977	PURCELLVILLE	VA	201329203	DIVISION OF CHILD SUPPORT ENFORC. / DIVISION OF CHILD SUPPORT ENFORC.	7 NORTH EIGHTH STREET RICHMOND, VA 232193301	Details
RICHARD WILSON	308885977	PURCELLVILLE	VA	201329203	DIVISION OF CHILD SUPPORT ENFORC. / DIVISION OF CHILD SUPPORT ENFORC.	7 NORTH EIGHTH STREET RICHMOND, VA 232193301	Details

Possible Match

Data Source : List of Excluded Individuals and Entities as of 05/09/2012

TIN	Name	General	Specialty	Exclusion Code	Exclusion Description	Exclusion Date	Birth Date	Address
	RICHARD E WILSON	MEDICAL PRACTICE, MD	GENERAL PRACTICE/FP	1128b14	SECTION 1128(b)(14) Default on health education loan or scholarship obligation	12/20/1999	12/4/1967	1931 HWY 749 OPELOUSA 70570 US



Next Steps

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Get More Information

Reach out to the Agency Support Center to find the services that best fit your agency's needs.

Want to Learn More?

Sign up for our mailing list to receive updates on new functionality and data sources or to schedule a demo.

*Contact the Agency Support Center or visit us
at www.donotpay.treas.gov*



1-855-837-4391



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