



The Bureau of the Fiscal Service

Privacy Impact Assessment

The mission of the Bureau of the Fiscal Service (Fiscal Service) is to promote the financial integrity and operational efficiency of the federal government through exceptional accounting, financing, collections, payments, and shared services.

This Privacy Impact Assessment is a Public document and will be made available to the general public via the Fiscal Service Privacy Impact Assessment (PIA) webpage (shown below).

Fiscal Service - Privacy Impact Assessments (PIA):
https://www.fiscal.treasury.gov/fsreports/rpt/fspia/fs_pia.htm

Name of System: USAspending.gov

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SYSTEM GENERAL INFORMATION:

1) System Overview: Describe the purpose of the system.

The purpose of USAspending.gov is to provide the public with free access to data on federal awards and sub-awards.

2) Under which Privacy Act Systems of Records Notice (SORN) does the system operate? Provide number and name.

The Department of the Treasury/Bureau of the Fiscal Service (Fiscal Service) has developed this PIA to inform the public of the operational status of the USAspending.gov system, previously owned and operated by GSA. The purpose of USAspending.gov is to provide the public with free access to data on federal awards and sub-awards. Some of the awardees are self-identified as sole proprietors. GSA did not recognize records/information about sole proprietors as personally identifiable information (PII) protected under the Privacy Act and Fiscal Service is temporarily accepting GSA's determination. However, the Fiscal Service has decided to undertake an independent review of the treatment of sole proprietor records and may, if appropriate, develop a Privacy Act system of records notice (SORN) for USAspending or modify an existing Fiscal Service SORN. These additional measures are aligned with guidance provided the Department of the Treasury for the treatment of Federal systems with PII as described under the Privacy Act.

3) If the system is being modified, will the SORN require amendment or revision?

yes, explain.

no

4) Does this system contain any personal information about individuals?

yes

no

a. Is the information about members of the public?

Yes. The members of the public are Sole Proprietors.

b. Is the information about employees or contractors?

No.

5) What legal authority authorizes the purchase or development of this system?

The Funding Accountability and Transparency Act of 2006, 31 U.S.C. § 6101 note.

DATA in the SYSTEM:

1) Identify the category of individuals in the system

Check all that apply:

- Employees
- Contractors
- Taxpayers
- Others (describe)

2) Identify the sources of information in the system

Check all that apply:

- Employee
- Public
- Federal agencies
- State and local agencies
- Third party

- a. What information will be collected from employees or contractors?**
Name, Amount of the contract, Funding Source of for the contract, and Location of the Contractor.
- b. What information will be collected from the public?**
None.
- c. What Federal agencies are providing data for use in the system?**
GSA, OMB, and Treasury
- d. What state and local agencies are providing data for use in the system?**
None.
- e. From what other third party sources will data be collected?**
None.

3) Accuracy, Timeliness, and Reliability

- a. How will data collected from sources, other than Fiscal Service records, be verified for accuracy?**
Data validation techniques are used to verify the accuracy of the data.
- b. How will data be checked for completeness?**
Data validation techniques are used to check for completeness of data.
- c. What steps or procedures are taken to ensure the data is current?**
Data updates are retrieved from sources daily.
- d. In what document(s) are the data elements described in detail?**
The data elements are described in detail database dictionary documentation.

ATTRIBUTES OF THE DATA:

- 1) How is the use of the data both relevant and necessary to the purpose for which the system is being designed?**
The use of the data is both relevant and necessary for compliance with the Federal Funding Accountability and Transparency Act of 2006.

- 2) **Will the system derive new data or create previously unavailable data about an individual through aggregation from the information collected? How will this be maintained and filed?**
No, N/A
- 3) **Will the new data be placed in the individual's record?**
N/A
- 4) **Can the system make determinations about employees or members of the public that would not be possible without the new data?**
N/A
- 5) **How will the new data be verified for relevance and accuracy?**
N/A
- 6) **If the data is being consolidated, what controls are in place to protect the data from unauthorized access or use?**
N/A
- 7) **If processes are being consolidated, are the proper controls remaining in place to protect the data and prevent unauthorized access? (Explain)**
N/A
- 8) **How will the data be retrieved? (If personal identifiers are used to retrieve information on the individual, explain and list the identifiers that will be used to retrieve data.)**
Data related to an individual can be retrieved by the Sole Proprietor's name, Address, DUNS Number, Phone and Fax Numbers, and/or Congressional District.
- 9) **What kind of reports can be produced on individuals? What will be the use of these reports? Who will have access to them?**
The public is able to create queries or their own reports; however, Fiscal Service does not create reports.
- 10) **What opportunities do individuals have to decline to provide information (i.e., in such cases where providing information is voluntary) or to consent to particular uses of the information (other than required or authorized uses)? How can individuals grant consent?**
None

MAINTENANCE AND ADMINISTRATIVE CONTROLS:

- 1) **What are the retention periods of data in this system? How long will the reports produced be kept?**
The retention period of data in the system is two (2) years. Retention period is not applicable for reports.
- 2) **What are the procedures for disposition of the data at the end of the retention period? Where are the disposition procedures documented?**

The procedures for disposition of the data at the end of the retention are found under NARA General Records Schedules:

GRS 3.1 General Technology Management Records

GRS 3.2 Information Systems Security Records

GRS 4.3 Input Records, Output Records, and Electronic Copies

The disposition procedures are referenced in the USAspending.gov Configuration Management Plan.

- 3) If the system is operated in more than one site, how will consistent use of the system and data be maintained at all sites?**

The system is cloud based.

- 4) Is the system using technologies in ways that Fiscal Service has not previously employed**

(e.g., monitoring software, Smart Cards, Caller-ID)?

Yes. The system is cloud based.

- 5) How does the use of this technology affect employee or public privacy?**

The use of the technology can display identifiable information to the public related to sole proprietors.

- 6) Will this system provide the capability to identify, locate, and monitor individuals? If yes, explain.**

The system will provide the capability to identify and locate contractual awards of individuals who may be self-identify as sole proprietors.

- 7) What kind of information is collected as a function of the monitoring of individuals?**

The system does not monitor individuals.

- 8) What controls will be used to prevent unauthorized monitoring?**

Role based controls are used to prevent unauthorized monitoring. All access controls are on a need to know basis.

ACCESS TO DATA:

- 1) Who will have access to the data in the system?**

Check all that apply:

Contractors

Users

Managers

System Administrators

System Developers

Others (explain)

USAspending.gov will provide the public with free on-line access to data on federal awards and sub-awards.

- 2) How is access to the data by a user determined?**

Are criteria, procedures, controls, and responsibilities regarding access documented?

Access to the data by a user is determined based upon their role associated with their account. Yes.

3) Will users have access to all data on the system or will the user's access be restricted? Explain.

Agency users' access will be restricted to their agency's data. The general public is allowed to view data and generate reports.

4) What controls are in place to prevent the misuse (e.g., unauthorized browsing) of data by those having access? (Please list processes and training materials)

Role based access controls are in place to prevent the misuse (e.g., unauthorized browsing) of data by those with approved access.

5) If contractors are/will be involved with the design, development or maintenance of the system, were Privacy Act contract clauses inserted in their contracts and were other regulatory measures addressed?

Yes.

6) Do other systems share data or have access to the data in the system?

yes
 no

If yes,

a. Explain the interface.

b. Identify the role responsible for protecting the privacy rights of the public and employees affected by the interface.

7) Will other agencies share data or have access to the data in this system?

yes
 no

If yes,

a. Check all that apply:

Federal
 State
 Local
 Other (explain) _____

b. Explain how the data will be used by the other agencies.

c. Identify the role responsible for assuring proper use of the data.