



The Bureau of the Fiscal Service

Privacy Impact Assessment

The mission of the Bureau of the Fiscal Service (Fiscal Service) is to promote the financial integrity and operational efficiency of the federal government through exceptional accounting, financing, collections, payments, and shared services.

This Privacy Impact Assessment is a Public document and will be made available to the general public via the Fiscal Service Privacy Impact Assessment (PIA) webpage (shown below).

Fiscal Service - Privacy Impact Assessments (PIA):

http://www.fiscal.treasury.gov/fsreports/fspia/fs_pia.htm

Name of System: CASH TRACK

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SYSTEM GENERAL INFORMATION:

1) System Overview:

CASH TRACK supports cash management activities of the U.S. Treasury, specifically the Cash Forecasting Division (CFD), Board of Governors, and the Office of the Fiscal Assistant Secretary/Office of Fiscal Projections (OFP) and the Federal Reserve Open Market Desk (OMD). CASH TRACK's critical business functions include:

- Collecting, analyzing, reconciling, and purifying of daily actual receipt and expenditure data from various sources, including the Federal Reserve System, Federal Program Agencies, Bureau of the Fiscal Service and Financial Institutions;
- Collecting anticipated receipt and expenditure data from Federal Program Agencies;
- Producing the Daily Treasury Statement which summarizes the daily cash and debt operations of the Treasury

CASH TRACK produces: Actual receipt and expenditure activity of the Federal Government prior to its release to the public at 4:00 PM Eastern Standard Time each business day.

CASH TRACK is located at the Federal Reserve.

2) Under which Privacy Act Systems of Records Notice (SORN) does the system operate? Provide number and name.

Treasury .015—General Information Technology Access Account Records System of Records.

3) If the system is being modified, will the SORN require amendment or revision?

yes, explain.

no

4) Does this system contain any personal information about individuals?

yes

no

CASH TRACK system user data, including: individual's name, USER ID, department, work email and phone number.

a. Is the information about members of the public?

No. CASH TRACK collects only contact information for Federal government and Federal Agency individuals acting in their professional capacity as users of the system. Access to this contact information is limited by role and access rights.

b. Is the information about employees or contractors?

Yes.

5) What legal authority authorizes the purchase or development of this system?

The following regulatory codes give the Fiscal Service the authority to develop and implement the CASH TRACK system:

12 U.S.C. 5018

This statute grants Financial Agent authority to Treasury. CASH TRACK is a tool used by the U.S. Treasury, Fiscal Service, the Federal Reserve System and Federal Agencies.

12 U.S.C. 391

This statute grants Fiscal Agent authority to Treasury. The Fiscal Service has designated a Federal Reserve Bank to build and operate CASH TRACK under this authority.

DATA in the SYSTEM:

1) Identify the category of individuals in the system

Check all that apply:

- Employees**
- Contractors**
- Taxpayers**
- Others (describe)**

Office of the Fiscal Assistant Secretary (OFAS) – OFAS users can access reported Daily Actuals, historical Actuals and Large Dollar Notification information. They cannot view contact information.

Fiscal Service User – Fiscal Service users can access Daily Treasury Receipts and Expenditures and reported Daily Actuals information. They can view contact information.

Federal Reserve Bank (FRB) User – FRB users can access Daily Line Item Actuals and Government Checks Processed information. They can view contact information.

Federal Agency User – The FA users can access the Daily Line Item and Large Dollar Notification information they have permission to view. They cannot view contact information.

2) Identify the sources of information in the system

Check all that apply:

- Employee**
 - Public**
- Federal agencies**
 - State and local agencies**
 - Third party**

a. What information will be collected from employees or contractors?

Individual's name, USER ID, department, work email and phone number for a limited amount of users.

b. What information will be collected from the public?

None.

c. What Federal agencies are providing data for use in the system?

Agency for International Development
Bonneville Power Administration
Bureau of Fiscal Service
Centers for Disease Control and Prevention
Centers for Medicare and Medicaid Services
Credit Accounting Branch
Customer and Border Protection
Department of Defense Federal Payment Center
DFAS US Army Corps of Engineers
Defense Finance and Accounting Service Cleveland
Defense Finance and Accounting Service Columbus/Ohio
Defense Finance and Accounting Services Denver – Sales
Defense Finance and Accounting Services Indianapolis
Defense Finance and Accounting Services US Army Corp of Engineers
Department of Education
Department of Energy
Department of the Interior
Department of Justice
Department of State
Department of Transportation
Department of Veterans Affairs
Department of Veterans Affairs – Armed Forces Services Corporation
Export-Import Bank of the US
Federal Communications Commission
Federal Crop Insurance Corporation
Federal Deposit and Insurance Corporation
Federal Emergency Management Agency
Federal Financing Bank
Federal Highway Administration
Federal Railroad Administration

Federal Retirement Thrift Board
Federal Service Desk
General Services Administration
Health and Human Services
Health and Human Services – Division of Accounting Operations
Housing and Urban Development
Housing and Urban Development – Federal Housing Administration
Indian Health Service
Internal Revenue Service Berkley Finance Center
Internal Treasury Service
Kansas City Finance Center
Library of Congress
Minerals Management Service
National Aeronautics and Space Administration Johnson Space Center
National Credit Union Administration
National Railroad Retirement Investment Trust
Office of Civil and Defense Mobilization
Office of Community Services
Office of Personnel Management
Pension Board Guaranty Corporation
Philadelphia Finance Center
Philadelphia Finance Center Branch II
Rural Utilities Service
Security Exchange Commission
Small Business Administration
Social Security Administration
Tricare Management
US District Court of Cleveland
US Environmental Protection Agency

d. What State and local agencies are providing data for use in the system?

None.

e. From what other third party sources will data be collected?

None.

3) Accuracy, Timeliness, and Reliability

- a. **How will data collected from sources, other than Fiscal Service records be verified for accuracy?**

PII- Quarterly account re-certifications verify account data is accurate.

Non-PII – The application uses the Multi Queue (MQ) Scheduler to only load validated data files into the application. The application provides alerts to operational users if the system data is out of balance, indicating an error within one of the data files.

- b. **How will data be checked for completeness?**

PII – The authorized signer for the agency will re-certify accounts each quarter and certify they are complete.

Non-PII – The system will only load files sent with specific requirements that are built into the automated processing within the application.

- c. **What steps or procedures are taken to ensure the data is current?**

PII – Signature from an authorized signer each quarter

Non-PII – CASH TRACK will notify users if the book and the bank data are out of balance each day thus ensuring the data loaded into CASH TRACK is current and accurate

- d. **In what document(s) are the data elements described in detail?**

All requirements are defined in use cases. A description of the fields is described in each. These fields correspond to CASH TRACK database entries on the back end.

ATTRIBUTES OF THE DATA:

- 1) **How is the use of the data both relevant and necessary to the purpose for which the system is being designed?**

All of the data collected by the system are relevant and deemed necessary for the purpose of producing CASH TRACK services and reports.

- 2) **Will the system derive new data or create previously unavailable data about an individual through aggregation from the information collected? How will this be maintained and filed?**

No.

- 3) **Will the new data be placed in the individual's record?**

No.

- 4) **Can the system make determinations about employees or members of the public that would not be possible without the new data?**

No.

- 5) **How will the new data be verified for relevance and accuracy?**

Not Applicable

- 6) **If the data is being consolidated, what controls are in place to protect the data from unauthorized access or use?**

Not Applicable

- 7) **If processes are being consolidated, are the proper controls remaining in place to protect the data and prevent unauthorized access? (Explain.)**

No processes are being consolidated.

- 8) **How will the data be retrieved? (If personal identifiers are used to retrieve information on the individual, explain and list the identifiers that will be used to retrieve data.)**

User profile information is only accessible by the Bureau of Fiscal Service and other federal agencies.

- 9) **What kind of reports can be produced on individuals? What will be the use of these reports? Who will have access to them?**

Reports containing user information are not available. Access to the user information is limited by role and permission to Bureau of Fiscal Service and other federal agencies.

- 10) **What opportunities do individuals have to decline to provide information (i.e., where providing information is voluntary) or to consent to particular uses of the information (other than required or authorized uses)? How can individuals grant consent?**

The Bureau of Fiscal Service and other federal agency users access CASH TRACK only in their professional capacity to perform work-related functions.

The information collected is necessary, not voluntary and the consent option does not apply.

MAINTENANCE AND ADMINISTRATIVE CONTROLS:

- 1) **What are the retention periods of data in this system? How long will the reports produced be kept?**

PII – Data is retained as long as the user has active access to CASH TRACK. Once a user is removed from the application, their account information is also removed.
Non-PII – Data/Reports are retained indefinitely.

2) What are the procedures for disposition of the data at the end of the retention period? Where are the disposition procedures documented?

PII – Within 24 hours of notification of the requested removal of a user, the CASH TRACK account will become deactivated and their PII data will no longer be available for reporting within CASH TRACK. Central Business Application (CBAF) has procedures for account maintenance “Cash Track System Access Control – Account Creation – All users”.

Non-PII – No disposition procedures as records and reports are retained indefinitely.

3) If the system is operated in more than one site, how will consistent use of the system and data be maintained at all sites?

CASH TRACK resides on the Treasury Web Application Infrastructure (TWAI) with one hot site and one backup site. Replication occurs between the two sites. The TWAI is responsible for replication of the data and failover to the back-up site in a contingency situation.

4) Is the system using technologies in ways that Fiscal Service has not previously employed (e.g., monitoring software, Smart Cards, Caller-ID)?

No.

5) How does the use of this technology affect employee or public privacy?

Not Applicable

6) Will this system provide the capability to identify, locate, and monitor individuals? If yes, explain.

No.

7) What kind of information is collected as a function of the monitoring of individuals?

Not Applicable

8) What controls will be used to prevent unauthorized monitoring?

Not Applicable

ACCESS TO DATA:

1) Who will have access to the data in the system?

Check all that apply:

- Contractors
- Users
- Managers
- System Administrators
- System Developers
- Others (explain) _____

CFD and CASH TRACK Federal Reserve System support are the only roles able to access the contact information.

- 2) **How is access to the data by a user determined? Are criteria, procedures, controls, and responsibilities regarding access documented?**

CASH TRACK uses role-based security to limit user access. The user provisioning system requires two individuals to grant user access.

- 3) **Will users have access to all data on the system or will the user's access be restricted? Explain.**

User access is restricted to only the roles they are assigned. Levels of access and system functionality are permitted as only as authorized by the user's management.

- 4) **What controls are in place to prevent the misuse (e.g., unauthorized browsing) of data by those having access? (Please list processes and training materials)**

CASH TRACK uses role-based security and applies least privileged methods to limit user access to only what is needed to perform their duties.

- 5) **If contractors are/will be involved with the design, development or maintenance of the system were Privacy Act contract clauses inserted in their contracts and were other regulatory measures addressed?**

The Federal Reserve Bank designs and develops the system acting as a fiscal agent of the U.S. Treasury. Federal Reserve Bank employs contractors to assist in development, but contractors must meet the same requirements as bank employees (background checks, information security training, signing rules of behavior and confidentiality clauses, etc.) Privacy Act contract clauses and other regulations are met in these documents.

- 6) **Do other systems share data or have access to the data in the system?**

No.

If yes,

- a. **Explain the interface.**
- b. **Identify the role responsible for protecting the privacy rights of the public and employees affected by the interface.**

7) Will other agencies share data or have access to the data in this system?

No.

If yes,

a. Check all that apply:

Federal

State

Local

Other (explain) _____

b. Explain how the data will be used by the other agencies.

c. Identify the role responsible for assuring proper use of the data.