

DAIMS v2.1 Release Notes

June 4, 2021

The purpose of this release of the DATA Act Information Model Schema (DAIMS) Version 2.1 is to support recent legislative and policy changes, such as agency tracking and reporting of COVID-19 supplemental spending as required by the CARES Act, ARP Act, and OMB implementation guidance M-20-21 and M-21-20. This release also supports Grant Reporting Efficiency and Agreement Transparency Act (GREAT Act) implementation, 2 CFR updates, an OMB Memorandum on Improvements in Federal Spending Transparency for Financial Assistance (M-21-03), and Grants Management (GRM FIBF) business standards updates. This release also includes reporting requirements for transitioning to the Unique Entity Identifier (UEI) from DUNS and initial steps to help integrate Budget Object Class (BOC) reporting in the Governmentwide Treasury Account Symbol Adjusted Trial Balance System (GTAS) to reduce agency burden and improve overall BOC data quality. Changes will be implemented in phases based on the timelines from the OMB memoranda, GSA SAM, and GTAS.

Related to the COVID-19 response, this release includes tying off the M-20-21 requirements that were outside of the DAIMS v2.0 timeline, updates to the Disaster and Emergency Fund Code (DEFC), and exceptions required for the ARP Act based on M-21-20:

- Beginning in FY 2022, all agencies, including those without COVID-19 spending, must begin reporting Files A, B, and C to USASpending.gov on a monthly basis, including award outlay data in File C, for all Treasury accounts reportable under the DATA Act.
- Allowing up to a 3-character DEFC to enable future disaster/emergency spending tracking and align with GTAS. This includes the elimination of a temporary non-standard code (DEFC '9') and requiring all actual DEFC (including non-COVID codes).
- Modified validations to align with SAM registration/recertification flexibilities provided for in M-21-20. This enables financial assistance records to be submitted when federal awarding agencies relax the timing of the requirement for active SAM registration at time of application (or waive it entirely in certain ARP programs) in order to expeditiously issue funding.

Related to the GREAT Act, 2 CFR updates, M-21-03, and GRM FIBF update, financial assistance reporting changes are:

- Enable reporting of Indirect Cost Federal Share Amount to promote transparency. This aligns with GRM FIBF standards which requires this be reported in FY22¹.
- Enable optional reporting of the Funding Opportunity Number (FON) to provide transparency through linkage to pre-award information. This aligns with GRM FIBF standards which requires this be reported in FY24¹.
- Enable the optional reporting of Funding Opportunity Goals Text for program goals at the funding opportunity level. This provides the alignment with GRM FIBF standards which requires this be reported in FY24¹.
- Updates the Award Description and Period of Performance dates data elements to improve data quality through clearer guidance.

¹ If OMB issues policy that changes the due date for these data elements, we will update the DAIMS accordingly.

- Transition to Assistance Listing from CFDA.

Related to the Unique Entity Identifier and Budget Object Class, changes are:

- Enable the reporting of Unique Entity Identifier (UEI) and facilitate the transition from DUNS.
- Update Budget Object Class (BOC) code format and validations to align with future GTAS integration.

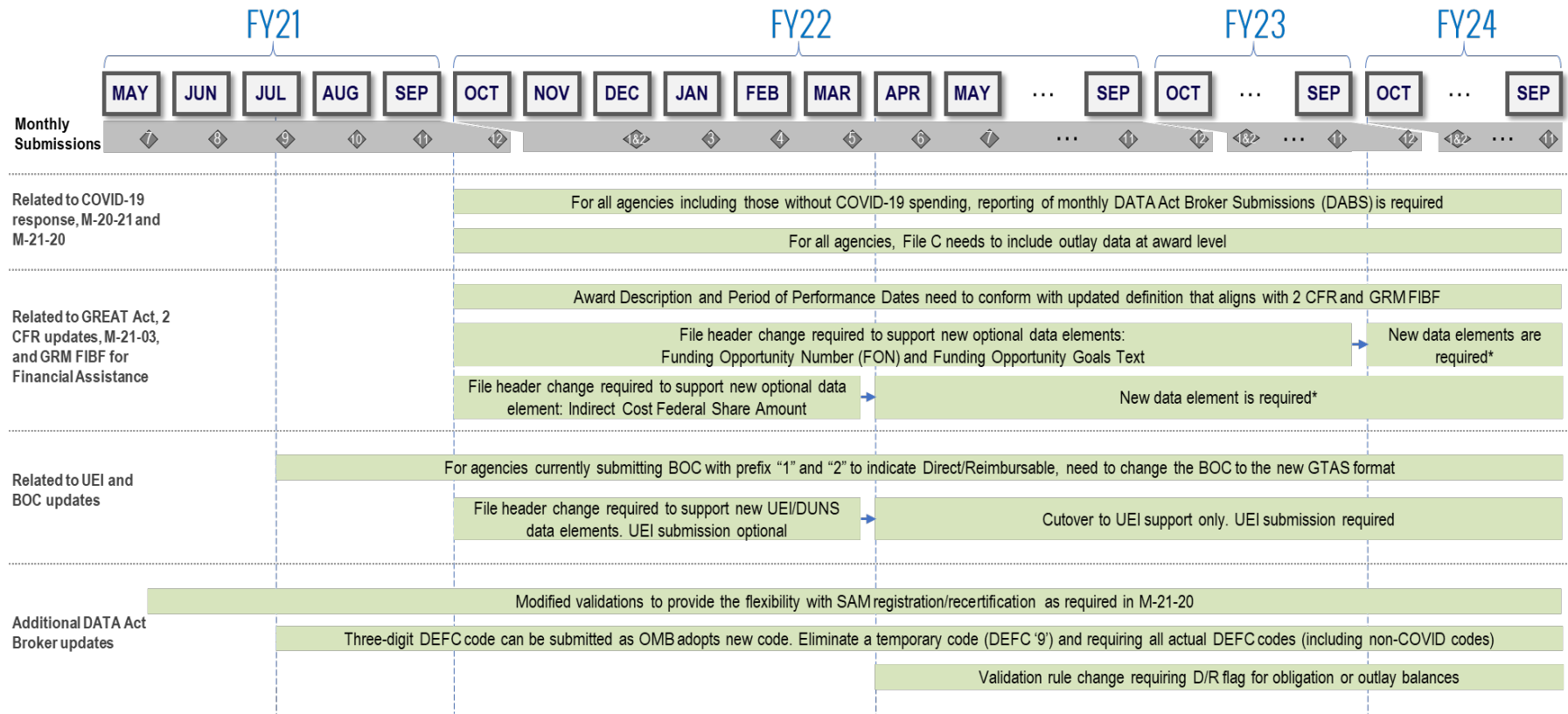
The release also includes other minor updates to clarify documentation, update validation rules to improve data quality, address agency feedback, add domain values, address changes in source system files, and provide additional metadata for data consumers.

Agencies should review the change logs of the attached Reporting Submission Specifications (RSS), Interface Definition Document (IDD), Validation Rules, and Practices and Procedures for specific line-item details.

These changes have been presented to the monthly CFOC Leveraging Data as a Strategic Asset (LDASA) DAIMS Working group throughout the past year and their valuable input and feedback have been incorporated into this release. Additional agency and OMB feedback on the proposed draft changes were incorporated, which include:

- Clarified definitions for Award Description, Period of Performance Start and Current End dates for different types of awards
- Updated data element label for Funding Opportunity Goals from “FundingOpportunityGoalsDescription” to “FundingOpportunityGoalsText”
- Updated validation rules text to better clarify the intended business rule changes for FABS31.2 and FABS31.4
- Provided guidance in Practices and Procedures on submitting data for recipients not registered in SAM at the time of award reporting
- Removed two sections that were added in the draft Practices and Procedures regarding authoritative guidance
- Made updates to the draft Practices and Procedures to clarify monthly attestation vs quarterly certification

Release Timeline



* If OMB issues policy that changes the due date for these data elements, we will update the DAIMS accordingly.

Significant DAIMS v2.1 Dates

May 2021:

- Validation rules modified to align with SAM registration/recertification flexibilities provided for in M-21-20: FABS 31.5 changes to a warning in the Broker.

June 2021 (Period P09 submission in July):

- Agencies currently submitting Budget Object Class (BOC) with prefix “1” and “2” to indicate Direct/Reimbursable need to change the BOC to the new GTAS format, which is a three-digit format or the four-digit BOC format with a trailing zero. The related (now-vestigial) validation rule B18 is deprecated
- Allowing up to a 3-character DEFC to enable future disaster/emergency spending tracking and align with GTAS. DEFC ‘9’ is no longer accepted and all actual DEFC are required (including non-COVID codes)

October 1, 2021 (Validation rules, FABS, and File D2 changes):

- For all agencies, including those without COVID-19 spending, reporting of monthly DATA Act Broker Submissions (DABS) is required. Implemented for FY22 period 1&2 submission in December
- For all agencies, File C needs to include outlay data at award level. Implemented for FY22 period 1&2 submission in December
- FABS and File D2 header changes to support the new optional data elements: Funding Opportunity Number (FON), Indirect Cost Federal Share Amount, and Funding Opportunity Goals Text
- File header change in FABS and File D2 required to support new UEI/DUNS data elements. UEI submission is optional

April 4th, 2022:

- Removal of DUNS element from RSS and IDD. Addition of new headers to File D1, E, F to support UEI. Cutover to UEI support only
- Indirect Cost Federal Share Amount required in FABS¹
- Validation rule change requiring D/R flag for obligation or outlay balances (B12 made fatal)

October 1, 2023:

- Funding Opportunity Number (FON) and Funding Opportunity Goals Text required in FABS¹